Data Link Layer

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 - Data Link Layer Issues
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 - Error Correction
 - Error Detection
 - PPP
 - Modems
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 - ARQ Protocols With Pipelining (Sliding Window Protocols)

Services Provides By DL Layer

Framing Error Control ARQ Protocols Revisited

Data Link Layer Issues

Data Link Layer

- Provide services to network layer
- Types of services
 - Unacknowledged connectionless service
 - Acknowledged connectionless service
 - Acknowledged connection-oriented service

Services Provides By DL Layer

Framing Error Control ARQ Protocols Revisited

Data Link Layer Issues

Packet vs Frame



Services Provides By DL Layer

Framing Error Control ARQ Protocols Revisited

Data Link Layer Issues

Virtual / Actual Communication Path



Data Link Layer Issues

Issues

- Send a sequence of bits from one end of a wire to the other end.
- Synchronization: logical organization of frames
- Integrity of the data received (remember noise?)
- Type of service provided to higher layers
 - unreliable connectionless, no acks
 - reliable acks, connection oriented (multiple frames sent in a connection)
 - reliable and efficient delivery (ARQ protocols)
- For shared links two other issues: addressing and collisions
- Addressed by Medium Access Control protocols



- Organization of frames
- Methods
 - Character count
 - Byte stuffing
 - Bit stuffing

Character Count



Byte Stuffing

Used in PPP. Only for byte oriented communications



Bit Stuffing

```
Simple algorithm - 01111110 used as flag
At transmitter - after 5 consecutive ones insert a zero
At receiver - In a pattern of 111110 anywhere in the data, remove
the trailing zero!
(a) 01101111111111111111110010
(b) 01101111101111101111101010
                     Stuffed bits
(c) 01101111111111111111110010
Why do we need to stuff a 0 in 0111110?
```

Bit Stuffing

Why do we need to stuff a 0 in 0111110? (with 5 ones and a zero) Even though flag has six consecutive ones? (01111110) If we don't, notice the ambiguity

- 011111011 \rightarrow 011111011 (unchanged)
- 01111111 \rightarrow 011111011 (0 stuffed to separate 5th and 6th one)
- If we do the ambiguity is resolved
 - $\bullet \hspace{0.1in} 011111011 \rightarrow 0111110011$
 - $\bullet \hspace{.1in} 01111111 \hspace{.1in} \rightarrow 011111011$

Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

Errors Are Unavoidable

- Need to detect errors
- correct errors / request retransmission
- The key: **redundancy** extra bits need to be transmitted for detecting / correcting errors
- Example: parity bits for error detection

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Hamming Distance

- A metric for measuring "distances" between two sequences of bits
- *A* = 1000110
- *B* = 1010100
- How many bits need to be flipped to get *B* or *A* (or vice-versa)?
- Two bits the Hamming distance between A and B is 2.

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Error Detection with Parity Bit

- *A* = 1000110
- With even parity A = 1000110
- With odd parity *A* = 1000110**0**
- For all subsequent discussions we will only use even parity
- If any of the eight (7+1) bits of A is flipped during transmission parity check will fail.
- What happens if two bits get flipped?
- Parity check fails to detect error...
- With one redundant bit we can only detect one-bit errors.
- Actually any odd number of bit-errors

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- Need to transmit *m* bit symbols (2^{*m*} possible symbols)
- r redundant bits (for error detection / correction)
- n = m + r bits actually transmitted.

• Efficiency is
$$\frac{m}{m+r} = \frac{m}{n}$$

 In general, more the redundancy, more the errors that can be detected / corrected

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A Simple-Minded Approach

- Just repeat every bit twice (efficiency 0.5) any one bit error can be detected
- Parity bit (just add one extra bit) efficiency $\frac{m}{m+1}$ is high for large m.
- How can we correct single bit errors automatically?
- Repeat each bit two more times (efficiency 0.33)
- Are there better ways?

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Error Detection vs Correction

- Error correction needs more redundancy
- Error detection is much more crucial than error correction why?
- Practical error detection techniques address the problem of detecting multiple-bit errors.
- Error correction is usually used only for correction of single bit errors.

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Single Bit Errors are Statistically More Frequent!

- Let us assume that the probability that any bit may be erroneously received is $p = 10^{-9}$.
- If $N = 10^3$ bits are transmitted, probability that there may be a bit error is roughly one in a million.
- Probability that there may be two errors is roughly one in a trillion (million × million)
- We need to detect even rare errors but not a big deal if we can not correct it (request re-Tx).

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- How do we correct one-bit errors?
- If the receiver is able to correct errors, the sender does not need to retransmit
- How do we detect multiple-bit errors?
- If error is detected, the receiver can request the sender to retransmit
- Or simply not acknowledge reception

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One-bit Error Correction

- Problem statement:
 - X is transmitted *n*-bit message
 - Y is received *n*-bit message
 - $H(X, Y) \leq 1$ (Hamming distance is at most 1)
- For any X there is a set consisting of n + 1 possible Ys

•
$$Y = X$$
, or

- other *n* cases where only one bit is flipped (first or second or \cdots or n^{th})
- Example, X = 1011101 (n = 7), Y has eight possible values

$$Y \in \begin{bmatrix} 1011101 & 1011100 & 1011111 & 1011001 \\ 1010101 & 1001101 & 1111101 & 0011101 \end{bmatrix}$$

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One-bit Error Correction

- For each X there is a Y-set (n+1 possible Ys)
- Each set includes X, and n values one Hamming distance away away from X
- What is the maximum number of non-overlapping sets? $2^n/(n+1)$.
- If X is a 7 bit number, then $2^n/(n+1) = 128/8 = 16$
- If X is a 10 bit numbers then 2¹0/11 = 1024/11 = 93.09 (or 93 non-overlapping sets)

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One-bit Error Correction

- If $2^m \leq 2^n/(n+1)$ we have non overlapping set of Ys for every *m*-bit number
- For every *m*-bit number there is a set with i) an *n*-bit X and ii) *n* numbers one Hamming distance away from X
- The Xs are 2^m unique codes represented using n = m + r bits.
- If any of the 2^m Xs are sent, we can readily identify the which one was sent even if a bit was flipped in the channel.
- To send an *m*-bit number over a noisy channel (in which not more than one bit can get flipped) we send a *n* = *m* + *r*-bit code

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Efficient Choice of n and m

- If $2^n/(n+1)$ is a power of 2 then we have $2^m = 2^n/(n+1)$.
- Else we have to choose $2^m < 2^n/(n+1)$ (which is not efficient as we waste some usable codes)
- Efficient codes are represented as (m, n) (Hamming codes)
- m = 1; n = 3; r = 2; an efficient code as $2^1 = 2^3/4$.
- m = 2; n = 5 r = 3; not efficient as $2^5/6 = 32/6 > 2^2 = 4$
- (m = 4, n = 7) (r = 3) is an efficient code $(2^7/(7+1) = 128/8 = 16)$
- For efficient codes $n = 2^r 1$, m = n r
- *r* = 8, *n* = 256 − 1 = 255, *m* = 255 − 8 = 247: (247, 255) code
- r = 10, n = 1024 1 = 1023. m = 1023 10 = 1013: (1013, 1023) code

Error Correction

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Hamming Codes

- n = 2^r 1: efficient codes like
 (4,7), (11, 15), ... (1013, 1023), ...
- (4,7) code: r = 3 parity bits, n = 7 transmitted bits, m = 4 information bits.
- Each code of the form $b_7 b_6 b_5 \mathbf{b_4} b_3 \mathbf{b_2} \mathbf{b_1}$
- The bold bits are r = 3 parity bits. Other m = 4 bits are the actual information bits.
- What is special about positions 1,2, and 4?
- Powers of 2. $(2^0, 2^1, 2^2)$.

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Hamming Code (4,7)

- $b_7 b_6 b_5 \mathbf{b_4} b_3 \mathbf{b_2} \mathbf{b_1}$
- b_1 is the parity bits for bits b_7 , b_5 , b_3 (all odd positions 7,5,3,1)
- b_2 is the parity for bits b_3, b_6, b_7 (positions 2,3,6,7)
- b_4 is the parity for bits b_5 , b_6 , b_7 (positions 4,5,6,7)
- Assume we want to send m = 4 bits 1011
- $b_7 = 1 \ b_6 = 0 \ b_5 = 1 \ b_4 = ? \ b_3 = 1 \ b_2 = ? \ b_1 = ?$
- $b_1 = 1$, $b_2 = 0$, $b_4 = 0$ are the correct parities
- The code for 1011 is 1010101

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Hamming Code Example

- The receiver checks parity bits and writes down the results of the check as $x_4x_2x_1$
- $x_4 = 0$ if parity b_4 ; $x_4 = 1$ if parity b_4 is wrong.
- If no bit is flipped by the channel then all parity bits will be correct (result 000)
- If *b*₇ is flipped all three parities will be wrong (result 111)
- If b_3 is flipped result is 011
- If b_5 is flipped result is 101
- See a pattern? The result gives the position of the erroneous bit.
- Go ahead and flip it back.

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Hamming Code Example

- Code *X* = 1010101
- If Y = 1010101 (no error) result 000
- If Y = 1010100 (pos 1 error) result 001
- If Y = 1010111 (pos 2 error) result 010
- If Y = 1010001 (pos 3 error) result 011
- If Y = 1011101 (pos 4 error) result 100
- If Y = 1000101 (pos 5 error) result 101
- If Y = 1110101 (pos 6 error) result 110
- If Y = 0010101 (pos 7 error) result 111

Error Correction

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(11, 15) Hamming Code

Code $b_{15} \cdots b_1$ b_1, b_2, b_4, b_8 are parity bits Example 0 1 0 1 0 1 0 ? 0 0 1 ? 0 ? ? (11-bit information 01010100010) b_{14} b_{13} b_{12} b₉ b7 b_{15} b_{11} b_{10} b_8 b_6 b_5 b_4 b₃ b_2 b_1 1 1 0 ? 0 0 1 ? 0 ? ? 0 1 0 0

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 0 1 1 1 0 0 0 1 Result written as $x_8x_4x_2x_1$. If bit 13 is flipped result is 1101

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Syndrome Coding

- A syndrome is a "collection of symptoms."
- Each result of parity check is a symptom: each bit of the result (for example 1011 if r = 4 is a symptom)
- The syndrome should *unambiguously* indicate the error.
- With r redundant bits, we have 2^r unique syndromes
- We can detect 2^r 1 different "diseases" (one syndrome corresponds to "no illness").

• $n \leq 2^r - 1$

Error Correction

Error Detection PPP Modems ADSL and Cable Internet Wireless



- Burst errors affect a consecutive sequence of bits
- Power surges, explosions
- Hamming codes can only detect one-bit errors
- Can we handle burst errors?
- Yes: by interleaving

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Interleaving

- 40 bits need to be sent
- Use (7,4) Hamming code to encode 4 bits at a time: 40 bits becomes 70 bits at positions (1, 2, ..., 70)
- 10 independent codes at positions (1, 2, 3, 4, 5, 6, 7), $(8, 9, 10, 11, 12, 13, 14) \cdots (64, 65, 66, 67, 68, 69, 70)$
- Reorder the sequence of 70 bits by interleaving
- (1,8,15,... 64), (2,9,...,65), (3,10,...,66) ··· (7,14,... 70)
- At the receiver: de-interleave and then decode
- Any burst sequence up to 10 bits long will produce at most error in each of the ten codes

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Error Detection

- Single error detection with parity bit
- Burst error detection: Interleaving

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Multi-bit Error Detection

- Double errors
- arrange $n = w \times h$ bits as a matrix
- Add parity bit for each row (we now have $(w + 1) \times h$ matrix)
- Parity bit for each column we end up with a $(w + 1) \times (h + 1)$ matrix
- If 2 errors happen in the same row (column) they cannot be in the same column (row)

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Cyclic Redundancy Checks (CRC)

- A polynomial $y = P(x) = x^r + a_{r-1}x^{r-1} + \dots + a_1x^1 + a_0$
- If P(x) is in the field of real numbers, x, a₀...a_{r-1}, y can be any real number
- \bullet Any bit string can be seen coefficients of a polynomial in the finite field $\{0,1\}$
- $P(x), x, a_0 \dots a_{r-1}$ can only be 0 or 1
- Example: 110101 is $P(x) = x^5 + x^4 + x^2 + x^0$.
- Addition: 1 + 1 = 0 = 0 + 0, 1 + 0 = 0 + 1 = 1
- Subtraction is the same as addition!
- Multiplication: $1 \times 1 = 1$, $0 \times x = 0$.
- P(0) = 1. P(1) = 1 + 1 + 1 + 1 = 0.

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Polynomial Arithmetic

- $P(x) = x^5 + x^4 + x^2 + 1$. $Q(x) = x^2 + x^1$
- $P(x) + Q(x) = x^5 + x^4 + x^1 + 1$
- $P(x) \times Q(x) = (x^5 + x^4 + x^2 + 1)(x^2 + x^1) = x^7 + x^6 + x^4 + x^2 + x^6 + x^5 + x^3 + x^1 = x^7 + x^5 + x^4 + x^3 + x^2 + x^1.$
- Polynomial division what is P(x)/Q(x)
- 110 |110101 | 1001 (quotient) 110 ----000101 110 ----011 (remainder)

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CRC

- Choose a generator polynomial G(x) of degree r (r + 1 bit number)
- Message to be sent M(x)
- Append r zeros to M(x). The result is $x^r M(x)$.
- Evaluate the remainder of $x^r M(x)/G(x)$ (remainder will be a polynomial of degree *less* than r) say R(x)
- Transmit $T(x) = x^r M(x) R(x) = x^r M(x) + R(x)$
- Receiver receives T(x)
- In case of error receiver gets T'(x) = T(x) + E(x)
- Receiver checks if remainder of T'(x)/G(x) is zero
- If remainder is not zero receiver decides that there is an error
- As T(x)/G(x) = 0, T'(x)/G(x) = E(x)/G(x)
- Errors where E(x)/G(x) is zero goes *undetected*

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Good choice for G(x)

- Both highest and lowest order bits should be 1 $(1xx \cdots xx1)$
- If G(x) has degree more than 1 all single bit errors will be detected E(x) = xⁱ.
- Two isolated single bit errors $E(x) = x^i + x^j = x^j(x^{i-j} + 1)$: any G(x) that does *not* have $x^k + 1$ as a factor (for all $k \le m$) is sufficient
- If *x* + 1 is not a factor, then *all* odd number of errors can be detected.
- Any burst error of length $\leq r$ can be detected
- 32 bit polynomial specified in IEEE 802x

Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

DL Layer Tasks

- Receive packets from NL
 - Add header
 - Add CRC
 - Frame the packet (bit/byte stuffing + flags at either end)
 - Hand over DL frame to MAC layer (if the medium is shared), or Physical layer
- Receive packets from PL/MAC layer
 - Unframe (remove stuffed bits/bytes and flags) the packet
 - Check CRC
 - Remove header and footer
 - Handover payload to higher layer

Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

PPP in Dial-up Internet



Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

Point-to-Point Protocol

- RFC 1662, 1663
- Features
 - Framing
 - Link Control Protocol (LCP)
 - Network Control Protocol (NCP)

Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

Overview of PPP

- Byte oriented protocol
- Byte stuffing for framing
- Physical layer is a telephone connection between two *modems* (client's modem makes a call to the modem of the ISP)
- Telephone connection established. PPP frames are sent instead of voice signals over the telephone line.
- Initially LCP packets sent in PPP frames for negotiation of physical layer parameters.
- Following this NCP packets are carried by PPP frames to establish network layer parameters (IP address)
- Now the host has access to the Internet. IP packets can be sent inside PPP frames.

Services Provides By DL Layer Framing PPP Error Control Modems ARQ Protocols Revisited ADSL and Cable Internet Wireless

PPP Frame Format

- Flag byte 01111110
- Address (not needed for point to point connection) always set to 11111111
- Control field: default value indicates no ACKs.
- During LCP parties can negotiate and decide to drop address and control bytes
- Protocol: specifies LCP, NCP and network protocols like IP, IPX, AppleTalk etc.
- Payload (LCP, NCP, IP packets)
- Checksum: 2 or 4 bytes (negotiated during LCP)



Error Correction Services Provides By DL Layer **Error Detection** Framing PPP Error Control Modems **ARQ** Protocols Revisited **ADSL** and Cable Internet Wireless

PPP Phases



Ramkumar

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Dial-up Internet

- Send bits over telephone cable
- Cable meant for carrying voice signals between 300-3000 Hz
- Done by modulating analog signals with bits.
- Modulation: carrier signal + information signal = modulated signal
- Demodulation: separating the carrier and information signal
- For our purposes the information signal consists of bits.
- The modulated signal should have characteristics similar to voice (30-3000 Hz bandwidth)
- Three types of modulation: amplitude, frequency, and phase modulation

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Amplitude, Frequency and Phase Modulation



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Dial-up Internet

- Amplitude and phase modulation: bits are chunked and each chunk represented by a clipped sinusoidal pulse
- Bit rate and Baud rate
 - Baud rate is the number of sinusoidal pulses per second: fixed at 2400 per sec.
 - Each pulse represents k bits
 - The receiver needs to be able to differentiate between 2^k different pulses used to represent 2^k possible chunks.
 - If we use 8 different types of pulses we can send three bits per pulse (8 = 2^3)
 - We have managed to go up to 56 K with baud rate of 2400 (about 23 bits in each chunk)
 - How many different shapes? $>2^{23}\approx 8$ million!

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- Modulator demodulator
- Bits are chunked, converted to sinusoidal pulses (modulated)
- pulses sent over telephone connection
- The received signal is converted back to bits by demodulator
- Clipped sinusoid is the basic signal
- Variations achieved by modifying amplitude and phase
- Different signals can be represented on a constellation diagram

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QPSK, QAM-16, QAM-64

Quadrature Phase Shift keying (2 bits per sample), Quadrature Amplitude Modulation



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Trellis Coded Modulation

Add more bits per sample Add extra bits to each sample for error correction V.32 (4+1, 32) - 9600 bps, V.32 bis (6 + 1, 128) 14,400 bps V.34 - 28,800 bps, V.34 bis - 36,600 bps



Services Provides By DL Layer Framing Error Control ARQ Protocols Revisited ARQ Protocols Revisited ARQ Protocols Revisited ARQ Protocols Revisited

Modern Modems

- Full duplex: transmissions possible in both directions at the same time
- Half-duplex: Both directions, but not at the same time
- Simplex: Only one direction
- V.90, V.92 are full duplex standards
- Dedicated uplink and downlink channel
- 56 kbps downlink, 33.6 kbps uplink (V.90)
- 48kbps uplink (V.92) + facility to detect incoming calls while online

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LCP in PPP

- The bits sent as pulses correspond to fax data or PPP frames
- Telephone connections can have great variation in quality
- Initially a base-line quality is assumed to send PPP frames carrying LCP packets.
- LCP is negotiation to agree on achievable bits per chunk and the appropriate constellation to use.

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Error Detection ADSL and Cable Internet



- After LCP an improved PPP link can be used to carry payloads
- Before IP packet can be sent NCP is needed
- NCP involves client authentication, issuing an IP address to the client, and conveying other useful parameters like net-mask and IP of a local DNS server.
- Only after NCP does the client become a "citizen of the Internet" capable of sending and receiving IP packets to any Internet host

Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

Unused Bandwidth!



Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless



- We have been sitting on a gold-mine!
- Telephones filter out higher frequencies to suppress noise
- 1.1 MhZ specturm divided into 256 channels 4312 Hz each (DMT - Discrete Multitone)
- First channel used for POTS (plain old telephone service)



Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

ADSL Equipment



Services Provides By DL Layer Framing Error Correction PPP Modems ADSL and Cable Internet Wireless

- Usually the cable is a ring around the neighborhood (shared) connected to a head-end
- Over 750 MHz bandwidth most used for TV channels



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Upstream and Downstream

• Contention in upstream



Error Correction Error Detection PPP Modems ADSL and Cable Internet Wireless

ADSL vs Cable

- Bandwidth
- Peak performance
- Upstream / Downstream dynamics
- Contention
- Security
- QOS (Quality of Service)

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Wireless Local Loops



Practical Limitations

- Packet size (limited usually by channel error-rate): frame size *F* bits
- Packet duration depends on channel data rate. If data rate is *R* bps then packet duration is $P = \frac{F}{R}$ seconds
- Finite propagation time: depends on distance between source and destination, and velocity of propagation (electromagnetic waves) in the medium
- If c is the velocity, and L is the distance, propagation time is $\tau_c = \frac{L}{c}$.
- Typically $c \approx 2.5 \times 10^8$ m/s in copper wires.
- Processing time τ_r a finite amount of time needed for processing packets

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

Practical Example

• 100 Mbps channel ($R = 10^8$), packet size 2000 bits

•
$$P = \frac{2000}{10000000} = 20 \ \mu s$$

- $L = 1000 m. \ c = 2.5 \times 10^8 m/s. \ \tau_c = \frac{1000}{2.5 \times 10^8} = 4 \mu s$
- Processing time say $5\mu s$
- At t = 0 A starts transmission
- At $t = 4\mu s/t = 24\mu s B$ senses leading/trailing edge
- At $t = 29\mu$ s B starts ACK (assume 2000 bits)
- At $t = 33\mu s/t = 53\mu s$ A senses leading/trailing edge
- At $t = 58\mu s A$ begins to transmit *next* packet
- Round trip time (RTT) $P + \tau_c + \tau_r + \tau_c + P + \tau_r$
- A used the channel for 20 $\mu {\rm s}$ and did not use the channel for 38 $\mu {\rm s}$
- In a 100 Mbps channel A can only achieve 34 Mbps?

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

Alternating Bit Protocol (ABP)



Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

ABP is Unambiguous!



Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

Effective Data Rate

• 100 Mbps link $A \leftrightarrow B$ ($R = 100 \times 10^6$), L = 2000 m, packet size F = 100 bits, $\tau_r = 1 \mu s$

•
$$P = \frac{100}{10000000} = 1\mu s$$
, $\tau_c = \frac{2000}{2.5 \times 10^8} = 8\mu s$

- What is the effective data rate between A and B when ABP is used?
- $\mathsf{RTT} = 2P + 2\tau_c + 2\tau_r = 2 + 16 + 2 = 20.$
- In each 20 $\mu {\rm s}$ interval transmission is done only for 1 $\mu {\rm s}$
- Effective data rate is 5 Mbps (P/RTT x R)

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

Improving Throughput

- ullet Sender receives the ACK for the first packet after 20 μs
- $\bullet\,$ Sender does nothing for 19 μs
- What if sender is allowed to send 20 packets before receiving the ACK for the first packet?
- After the ACK for the first packet is received the sender can send packet 21
- After the ACK for the second packet is received the sender can send packet 22, and so on
- Window size 20.

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)



- The secret to higher throughput
- Assumes nodes can do "multiple" things at the same time.
- ABP needs only half-duplex channels, for pipelining we require full duplex channels (ACKs and packets cross each other)
- Nodes may need to buffer packets when things do not go well
- What is the buffer size needed?
- How do we number packets and acknowledgements ?

Selective Repeat Protocol

- Similar to ABP, with window size W
- Or ABP is SRP with window size 1
- Buffer size of W packets
- 2W distinct numbers. If W = 4, numbers 0,1,...7.
- P0 to P7 numbered 0 to 7 respectively
- P8 / P16 are also numbered 0; P9 / P17 are numbered 1...and so on.
- Sender window (size W): If *i* is the earliest outstanding ACK, window includes *i*, *i* + 1, ... *i* + w − 1. Sender can only send packets within the sender's window.
- Assume W = 4. If sender has received ACKs for P0, P2 and P3 (sender has received ACKs with numbers 0, 2 and 3), sender window includes P1, P2, P3, P4.

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

SRP: Receiver Logic

- Receiver window size 2W. If j is the earliest missing packet
 - the receiver's pessimistic window includes W past packets $(j-1,j-2,\cdots j-W)$ and
 - the receiver's optimistic window includes W future packets $(j, j + 1 \cdots j + W 1)$.
- Receiver will accept only packets within the two windows.
- Packets falling under the past window are ACKed and dropped (already received them).
- Packets falling under the future window are ACKed and stored.

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

SRP: Receiver Logic

- Example W = 4. Receiver who has (received and) acknowledged packets $P0 \cdots P4$ (0, 1, 2, 3, 4) can receive (as the next packet) $P1 \cdots P8$ (1, 2, 3, 4, 5, 6, 7, 0).
- Receiver has received packets P0...P4, P5 (0, 1, 2, 3, 4, 5) has future window (6, 7, 0, 1) and past window (2, 3, 4, 5).
 - Can receive (as the next packet) $P2 \cdots P9$ (2,3,4,5, 6,7,0,1).
 - If next packet has number 6 or 7 or 0 or 1 store and send ACK
 - If next packet has number 2 or 3 or 4 or 5 *ignore and send* ACK.
- Both sender and receiver require a buffer for W packets.

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

Negative Acknowledgements (NACK)

- Assume ACK for packet number 2 not received
- Normally sender would wait for a timeout period
- What if an ACK for 3 (while 2 is missing) is interpreted as a NACK for 2?
- ACK for 3 is (in general) received well before time-out for the ACK for 2.
- Typically NACKs lower the number of packets that need to be buffered.

Issues Pipelining Protocols ARQ Protocols With Pipelining (Sliding Window Protocols)

Go Back N - GBN Protocol

- Window size W
- Sender logic is the same
- Receiver does not buffer packets (no window)
- Out of sequence packets are discarded
- Periodically sends acknowledgement for the last received packet number
- Example, if Rx gets packets 1,2,3,4,5,7,8,9,10 the ACK for 5 is sent. Sender gets no info about subsequent packets.
- Sender retransmits all packets 6 and above.